

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>M400-F111</b>
INTERNATIONAL APPLICATION NO. PCT/EP2003/007963	INTERNATIONAL FILING DATE 22 July 2003	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/452208</b>
PRIORITY DATE CLAIMED 23 July 2002		
TITLE OF INVENTION <b>ANTITUMOR COMPOUND AND THERAPEUTIC USES THEREOF</b>		
APPLICANT(S) FOR DO/EO/US <b>Cinzia Lanzi et al.</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 

Return Receipt Postcard    Fee Transmittal Sheet (1 pgs and Copy - 2 pgs total)  
 Fee Summary Sheet (2 copies)    Certificate of Express Mailing (1 page) & Copy of International Application (30 pgs)

U.S. APPLICATION NO. <b>10/522081</b>		INTERNATIONAL APPLICATION NO. PCT/EP2003/007963		ATTORNEY'S DOCKET NUMBER M400-F111	
21. The following fees are submitted:				<b>DT01</b> Rec'd PCT 21 JAN 2005	
<input checked="" type="checkbox"/>	a) Basic national fee .....	\$300.00		\$ 300.00	
<input checked="" type="checkbox"/>	b) Examination fee .....	\$200.00		\$ 200.00	
<input checked="" type="checkbox"/>	c) Search fee .....	\$500.00		\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,000.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets:	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
30 - 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	24 - 20 =	4	x 50.00	\$ 200.00	
Independent claims	3 - 3 =		x	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ 360.00	\$ 360.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,690.00</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 845.00	
<b>SUBTOTAL =</b>				<b>\$ 845.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 845.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 80.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 925.00</b>	
Amount to be refunded:				\$	
Amount to be charged:				<b>\$925.00</b>	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>031182</u> in the amount of \$ <u>925.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>031182</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
			SIGNATURE _____ Donald W. Wyatt		
			NAME _____		
CUSTOMER NUMBER: 32516			40,879 REGISTRATION NUMBER		

10/522081


DT01 Rec'd PCT/PTC 21 JAN 2005

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No: EL230471511US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on January 21, 2005  
Date

  
Signature

Donald W. Wyatt

Typed or printed name of person signing Certificate

40,879  
Registration Number, if applicable

(206) 272-4243  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Return Receipt Postcard  
Fee Transmittal (1 page – and Copy – 1 Pages (2 pages total))  
Application Data Sheet (4 pages)  
Fee Summary Sheet (2 copies)  
Copy of the International application (30 Pages)  
Transmittal Letter to the United States Designated-Elected Office (2 pages)  
Charge \$925.00 to deposit account 031182

BEST AVAILABLE COPY

10/522081

DT01 Rec'd PCT/PT 21 JAN 2005

**FEE SUMMARY SHEET****Transmittal to US Designated-Elected Office (PTO 1390)**

Date: January 21, 2005  
Time: 11:16 AM  
Docket: M400-F111

Filing Date: January 21, 2005  
Application No: TBD  
Total Fee: \$ 925.00

Code	Amount	37 CFR	Fee Description	Listed on
2631	150.00	1.492	Basic National Stage fee	Transmittal to US Designated-Elected Office (PTO 1390)
2632	250.00	1.492	National Stage search fee	Transmittal to US Designated-Elected Office (PTO 1390)
2633	100.00	1.492	National Stage examination fee	Transmittal to US Designated-Elected Office (PTO 1390)
2617	65.00	1.492(e)	National Stage oath or declaration after thirty months from priority date	Transmittal to US Designated-Elected Office (PTO 1390)
8021	80.00	1.21(h)	National Stage recording each patent assignment, agreement or other paper, per property	Transmittal to US Designated-Elected Office (PTO 1390)
2615	100.00	1.492(c)	National Stage claims - extra total (over twenty)	Transmittal to US Designated-Elected Office (PTO 1390)
2616	180.00	1.492(d)	National Stage claims - multiple dependent	Transmittal to US Designated-Elected Office (PTO 1390)

Effective 3/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete Known 10/52	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Application Number	01/18/2005
		Filing Date	January 21, 2005
		First Named Inventor	Cinzia Lanzi
		Examiner Name	Not Yet Assigned
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	N/A
<b>TOTAL AMOUNT OF PAYMENT</b>	(\$) 925.00	Attorney Docket No.	M400-F111

**METHOD OF PAYMENT** (check all that apply)

☐ Check    ☐ Credit Card    ☐ Money Order    ☐ None    ☐ Other (please identify): \_\_\_\_\_


☒ Deposit Account    Deposit Account Number: 031182    Deposit Account Name: Cell Therapeutics, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below    ☐ Charge fee(s) indicated below, **except for the filing fee**

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17    ☒ Credit any overpayments

FEE CALCULATION																						
1. BASIC FILING, SEARCH, AND EXAMINATION FEES																						
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)															
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)																
Utility	300	150	500	250	200	100																
Design	200	100	100	50	130	65																
Plant	200	100	300	150	160	80																
Reissue	300	150	500	250	600	300																
Provisional	200	100	0	0	0	0																
2. EXCESS CLAIM FEES																						
Fee Description							Small Entity Fee (\$)															
Each claim over 20 (including Reissues)							50															
Each independent claim over 3 (including Reissues)							200															
Multiple dependent claims							360															
<table border="0"> <tr> <td><u>Total Claims</u></td> <td><u>Extra Claims</u></td> <td><u>Fee (\$)</u></td> <td><u>Fee Paid (\$)</u></td> <td><u>Multiple Dependent Claims</u></td> </tr> <tr> <td>24</td> <td>- 20 = 4</td> <td>x</td> <td></td> <td><u>Fee (\$)</u></td> </tr> <tr> <td colspan="3"></td> <td></td> <td><u>Fee Paid (\$)</u></td> </tr> </table>								<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	24	- 20 = 4	x		<u>Fee (\$)</u>					<u>Fee Paid (\$)</u>
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>																		
24	- 20 = 4	x		<u>Fee (\$)</u>																		
				<u>Fee Paid (\$)</u>																		
<table border="0"> <tr> <td><u>Indep. Claims</u></td> <td><u>Extra Claims</u></td> <td><u>Fee (\$)</u></td> <td><u>Fee Paid (\$)</u></td> </tr> <tr> <td>3</td> <td>- 3 =</td> <td>x</td> <td></td> </tr> <tr> <td colspan="3"></td> <td></td> </tr> </table>								<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	3	- 3 =	x								
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>																			
3	- 3 =	x																				
3. APPLICATION SIZE FEE																						
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).																						
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>																		
30	- 100 =	/50	(round up to a whole number) x																			
4. OTHER FEE(S)																						
				<u>Fees Paid (\$)</u>																		
Non-English Specification, \$130 fee (no small entity discount)																						
Other (e.g., late filing surcharge):																						
2631	Basic National Stage fee			150.00																		
2632	National Stage search fee			250.00																		
2633	National Stage examination fee			100.00																		
2617	National Stage oath or declaration after thirty ...			65.00																		
8021	National Stage recording each patent assignment, ...			80.00																		
2615	National Stage claims - extra total (over twenty)			100.00																		
2616	National Stage claims - multiple dependent			180.00																		

<b>SUBMITTED BY</b>			
<b>Signature</b>		<b>Registration No. (Attorney/Agent)</b>	<b>40,879 Telephone (206) 272-4243</b>
<b>Name (Print/Type)</b>	<b>Donald W. Wyatt</b>	<b>Date</b>	<b>January 21, 2005</b>

Express Mail Label No. EL230471511US Dated: January 21, 2005

**BEST AVAILABLE COPY**